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# NOTICE OF ALLOWANCE AND FEE(S) DUE

24131

7590

02/22/2010

LERNER GREENBERG STEMER LLP P O BOX 2480 HOLLYWOOD, FL 33022-2480 EXAMINER

NGUYEN, HOANG M

ART UNIT PAPER NUMBER

3748 DATE MAILED: 02/22/2010

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/584,759	07/21/2006	Rudolf Hirschmanner	WMB-12405	3936

TITLE OF INVENTION: METHOD AND DEVICE FOR CONVERTING HEAT INTO MECHANICAL WORK

I	APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	YES	\$755	\$300	\$0	\$1055	05/24/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

ppropriate. All further ndicated unless correcte naintenance fee notifica		g the Patent, advance on terwise in Block 1, by (a	rders and notification of a) specifying a new corr	maintenance fees vespondence address:	vill be and/or	mailed to the current of (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPOND	ENCE ADDRESS (Note: Use Bl	Fe pa	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, muthave its own certificate of mailing or transmission.				
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							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTO:	RNEY DOCKET NO.	CONFIRMATION NO.
10/584,759	07/21/2006	•	Rudolf Hirschmanner			WMB-12405	3936
APPLN, TYPE	SMALL ENTITY	CE FOR CONVERTING	PUBLICATION FEE DUE	,		TOTAL FEE(S) DUE	DATE DUE
					E FEE		
nonprovisional	YES	\$755	\$300 T	\$0 <b>¬</b>		\$1055	05/24/2010
EXAM		ART UNIT	CLASS-SUBCLASS				
NGUYEN,		3748	060-643000				
. Change of correspondence address or indication of "Fee Address" (37 :FR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
PLEASE NOTE: Unl	less an assignee is identi h in 37 CFR 3.11. Comp	A TO BE PRINTED ON The field below, no assignee eletion of this form is NOT	data will appear on the	patent. If an assign n assignment.			ocument has been filed for
lease check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🗖 Co	orporati	on or other private grou	up entity Government
	are submitted: No small entity discount p # of Copies	4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
_ ` .	<b>tus</b> (from status indicated is SMALL ENTITY statu	· · · · · · · · · · · · · · · · · · ·	☐ b. Applicant is no lo	nger claiming SMAI	LENT	FITY status See 37 CF	$(P, 1, 27(\alpha)/2)$
NOTE: The Issue Fee an	d Publication Fee (if requ		d from anyone other than				e assignee or other party in
Authorized Signature				Date			
Typed or printed name				•			
This collection of inform n application. Confiden ubmitting the completed nis form and/or suggesti Sox 1450, Alexandria, V Nexandria. Virginia 223	tiality is governed by 35 d application form to the ions for reducing this but irginia 22313-1450. DC	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR (	on is required to obtain or 1.14. This collection is e depending upon the ind e Chief Information Offi COMPLETED FORMS	retain a benefit by t stimated to take 12 ividual case. Any co cer, U.S. Patent and FO THIS ADDRESS	he publ minutes omment Traden S. SENI	ic which is to file (and to complete, including s on the amount of tim nark Office, U.S. Depa D TO: Commissioner fo	by the USPTO to process) g gathering, preparing, and he you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,

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10/584,759	07/21/2006	Rudolf Hirschmanner	WMB-12405	3936	
24131 75	590 02/22/2010		EXAMINER		
LERNER GREE	NBERG STEMER L	NGUYEN, HOANG M			
P O BOX 2480		ART UNIT	PAPER NUMBER		
HOLLYWOOD, FL 33022-2480			3748		
			DATE MAILED: 02/22/201	0	

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)				
	   10/584,759	HIRSCHMANNER,	RUDOLF			
Notice of Allowability	Examiner	Art Unit	T			
	Hoang M. Nguyen	3748				
	Tioang W. Nguyen	3740				
The MAILING DATE of this communication appeal all claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate com GHTS. This application is	) in this application. If not include munication will be mailed in due	ded e course. <b>THIS</b>			
1. This communication is responsive to <u>12/1/09</u> .						
2. ☑ The allowed claim(s) is/are <u>16-35</u> .						
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> </ul>		d) or (f).				
2. ☐ Certified copies of the priority documents have		ition No				
3. ☐ Copies of the certified copies of the priority do			ation from the			
International Bureau (PCT Rule 17.2(a)).		3				
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		file a reply complying with the re	equirements			
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			NOTICE OF			
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.					
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Rev	iew ( PTO-948) attached				
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date						
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of					
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			e back) of			
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the			
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5 □ Notice of	Informal Patent Application				
<ol> <li>Notice of Preferences Gled (110-032)</li> <li>DNotice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		Summary (PTO-413),				
3. ☐ Information Disclosure Statements (PTO/SB/08),	Paper N	o./Mail Date r's Amendment/Comment				
Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit	<u> </u>	r's Statement of Reasons for All	lowance			
of Biological Material	9.					
	/Thomas E. I					
		Patent Examiner, Art Unit 37	48			

Art Unit: 3748

## STATEMENT OF REASONS FOR ALLOWANCE

After an appeal conference, it was agreed in the conference that Applicant's arguments in the appeal brief is found persuasive. The annular chambers of the primary reference (Laing) are inside the rotary heat exchanger and it's improper to use the annular gas chamber to conduct heat away from the heat exchanger. This application is allowed.

Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Examiner Nguyen whose telephone number is (571) 272-4861. The examiner can normally be reached on Tuesday--Friday from 12:30 AM to 10:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thomas E. Denion can be reached on 571-272-4859. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Hoang M Nguyen/ Primary Examiner, Art Unit 3748

> HOANG NGUYEN PRIMARY EXAMINER ART UNIT 3748

Hoang Minh Nguyen 2/23/2010

/Thomas E. Denion/ Supervisory Patent Examiner, Art Unit 3748